

**LIST OF APPROVED BROKER / DEALERS**  
**For Fiscal Year 2021**  
**June 3, 2020**

**PRIMARY DEALERS**

Amherst Pierpont Securities  
Bank of Nova Scotia, New York Agency  
Barclays Capital Inc.  
BMO Capital Markets Corp.  
BNP Paribas Securities Corp.  
BofA Securities, Inc.  
Cantor Fitzgerald & Co.  
Citigroup Global Markets Inc.  
Credit Suisse Securities (USA) LLC  
Daiwa Capital Markets America Inc.  
Deutsche Bank Securities Inc.  
Goldman, Sachs & Co. LLC  
HSBC Securities (USA) Inc.  
J.P. Morgan Securities LLC  
Jefferies LLC  
Mizuho Securities USA Inc.  
Morgan Stanley & Co. LLC  
NatWest Markets Securities Inc.  
Nomura Securities International, Inc.  
RBC Capital Markets, LLC  
Societe Generale, New York Branch  
TD Securities (USA) LLC  
UBS Securities LLC.  
Wells Fargo Securities

**NON-PRIMARY DEALERS**

BNY Mellon Capital Markets  
Fifth Third Securities  
FHN Financial \*  
Guggenheim Securities, LLC  
R.W. Baird & Co.\*\*  
Mitsubishi UFJ  
PNC Capital Markets  
Raymond James & Associates  
Stifel, Nicolaus & Company

\* Name change for FTN Financial effective 10/28/2019

\*\* Hilliard Lyons sold to Baird 10/10/2019