

OFFICE OF FINANCIAL MANAGEMENT
Issuer Appointments - FY2021

Issuer	Current Financial Advisor or Underwriter	Current Bond Counsel	Contract Expiration	Option to Renew (1 Year)
ALCo	TRAN JP Morgan		June 30, 2022	Yes (2 yrs)
	GARVEEs Citi		June 30, 2022	Yes (2 yrs)
	Project Notes /Funding Notes Morgan Stanley		June 30, 2022	Yes (2 yrs)
		Kutak Rock LLP	June 30, 2022	No
KHC	Citi		June 30, 2021	Yes
		Kutak Rock LLP	June 30, 2021	Yes
KHESLC	Bank of America/Merrill Lynch		June 30, 2021	No
	SL Capital Strategies (FA)		June 30, 2021	No
		Hawkins Delafield & Wood LLP	June 30, 2021	Yes
KIA	Morgan Stanley		June 30, 2021	No
		Rubin & Hayes	June 30, 2021	No
	The PFM Group (FA)		June 30, 2021	Yes

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SPBC	Citi - Senior Managing Underwriter Morgan Stanley - National Comanaging Underwriter		June 30, 2021	No
		Kutak Rock LLP	June 30, 2021	No
	Hilltop Securities Inc.		June 30, 2021	No
TAK	JP Morgan		June 30, 2021	Yes
		Dinsmore & Shohl LLP	June 30, 2021	Yes
UNIV	Baird (FA)		June 30, 2021	Yes
		Dinsmore & Shohl LLP	June 30, 2021	Yes
Local Co-manager	Baird Raymond James PNC FTN Financial Capital Markets First Kentucky Securities Corp		June 30, 2021	No