



COMPANY INFORMATION PAGE (JURAT)  
Health Risk-Based Capital  
For the Year Ending December 31, 2018

(A) Company Name Humana Health Plan, Inc.

(B) NAIC Group 0119 (C) NAIC Company Code 95885 (D) Employer's ID Number 61-1013183

(E) Organized under the Laws of the State of KY

Contact Person for Health Risk-Based Capital:

(F) First Name Stephanie (G) Middle \_\_\_\_\_ (H) Last Name Warren

(I) Mail Address of Contact Person P.O. Box 740036  
(Street and Number or P.O Box)

(J) City Louisville (K) State KY (L) Zip 40201-7436

(M) Phone Number 502-580-2050

(N) E-mail Address of RBC Contact Person DOIINQUIRIES@humana.com

(O) Date Prepared 02/22/2019

(P) Preparer (if different than Contact) \_\_\_\_\_  
First Middle Last

(Q) Is this an Original, Amended, or Refiling? (O, A, R) O

(Q1) If Amended, Amendment Number: 0

(R) Were any items that come directly from the annual statement entered manually to prepare this filing? (Yes/No) No

(S) Was the entity in business for the entire reporting year? YES

Officers:	Name	<u>Bruce Dale Broussard</u>	<u>Joseph Christopher Ventura</u>	<u>Alan James Bailey</u>
	Title	<u>President &amp; CEO</u>	<u>SVP, Assoc Gen Counsel &amp; Corp Sec</u>	<u>VP &amp; Treasurer</u>

Each says that they are the above described officers of the said insurer, and that this risk-based capital report is a true and fair representation of the company's affairs and has been completed in accordance with the NAIC instructions, according to the best of their information, knowledge and belief, respectively.

(Signature)

(Signature)

(Signature)

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

AFFILIATED COMPANIES RISK - DETAILS

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
Name of Affiliate	Affil Type Code	NAIC Company Code or Alien ID Number	Affiliate's RBC After Covariance Before Basic Operational Risk XR025 Line (37) PR032 Line (68) LR031 Line (67) + (71)	Book/Adjusted Carrying Value of Affiliate's Common Stock	Valuation Basis of Col (5) F - Fair A - All Other	Total Value of Affiliate's Outstanding Common Stock	Total Statutory Surplus of Affiliate Subject to RBC	Book/Adjusted Carrying Value of Affiliate's Preferred Stock	Total Value of Affiliate's Outstanding Preferred Stock	Percent Owned (Cols 5 + 9) / (Cols 7 + 10)	H0 Component RBC Required	H1 Component RBC Required
0000001. CHA HMO Inc	2	95158	10,748,453	16,619,557	F	16,619,557	16,619,557	0	0	100.000	10,748,453	0
(9999999) Total	XXX	XXX	10,748,453	16,619,557	XXX	16,619,557	16,619,557	0	0	XXX	10,748,453	0

Logic  
 If Col (2) < 5 and Col (6) = F Do Calculation  
Calculation  
 Col (12) = Min [Col (4) x Col (11), Col (8) x Col (11)]  
 If Col (5) + Col (9) > Max [Col (4) x Col (11), Col (8) x Col (11)] then  
     Col (13) = Max{[Col (5) + Col (9) - Col (8) x Col (11)] x .225, [Col (4) - Col (8)] x Col (11)}  
 If Col (4) x Col (11) > Col (5) + Col (9) > Col (8) x Col (11) then  
     Col (13) = Col (5) + Col (9) - Col (8) x Col (11)  
 Otherwise  
     Col (13) = 0  
 Col (12) and (13) cannot be less than 0

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**AFFILIATED COMPANIES RISK**

	Type of Affiliate	Type Code	Basis	(1) RBC	(2) Count
(1)	Directly Owned Insurer Subject to RBC .....	1	Affiliate's RBC*	0	0
(2)	Indirectly Owned Insurer Subject to RBC .....	2	Affiliate's RBC*	10,748,453	1
(3)	Directly Owned Health Entity Subject to RBC .....	3	Affiliate's RBC*	0	0
(4)	Indirectly Owned Health Entity Subject to RBC .....	4	Affiliate's RBC*	0	0
(5)	Investment Affiliates .....	5	0.300	0	0
(6)	Holding Company Excess of Subsidiaries .....	6	0.300	0	0
(7)	Directly Owned Alien Insurer .....	7	1.000	0	0
(8)	Indirectly Owned Alien Insurers .....	8	1.000	0	0
(9)	Investment in Parent .....	9	0.300	0	0
(10)	Other Affiliates .....	10	0.300	0	0
(11)	Fair Value Excess Affiliate Common Stock	11	Total of Type Codes 1 through 4 of XR002, Col 13	0	0

\* Capped at carrying value on the parent's statement

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**CROSSCHECKING FOR AFFILIATED INVESTMENTS**

Preferred Stock

	Schedule D Part 6 Section 1	Annual Statement Line Number	(1) Annual Statement Total Preferred Stock	(2) Total From RBC Report	(3) Difference
(1)	Parent .....	0199999	0	0	0
(2)	U.S. P&C Insurers .....	0299999	0	XXX	XXX
(3)	U.S. Life Insurers .....	0399999	0	XXX	XXX
(4)	U.S. Health Entity .....	0499999	0	XXX	XXX
(5)	Total P&C, Life and Health Insurers .....		0	0	0
(6)	Alien Insurer .....	0599999	0	0	0
(7)	Non-Insurer Which controls Insurers .....	0699999	0	0	0
(8)	Investment Affiliates .....	0799999	0	0	0
(9)	Other Affiliates .....	0899999	0	0	0
(10)	Subtotal	0999999	0	0	0

Common Stock

		Annual Statement Line Number	(1) Annual Statement Total Common Stock	(2) Total From RBC Report	(3) Difference
(11)	Parent .....	1099999	0	0	0
(12)	U.S. P&C Insurers .....	1199999	0	XXX	XXX
(13)	U.S. Life Insurers .....	1299999	0	XXX	XXX
(14)	U.S. Health Entity .....	1399999	0	XXX	XXX
(15)	Total P&C, Life and Health Insurers .....		0	0	0
(16)	Alien Insurer .....	1499999	0	0	0
(17)	Non-Insurer Which controls Insurers .....	1599999	16,619,557	16,619,557	0
(18)	Investment Affiliates .....	1699999	0	0	0
(19)	Other Affiliates .....	1799999	0	0	0
(20)	Subtotal	1899999	16,619,557	16,619,557	0

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**OFF-BALANCE SHEET AND OTHER ITEMS**

	Annual Statement Source	(1) Book/Adjusted Carrying Value	(2) Factor	(3) RBC Requirement	(4) Yes/No Response
<b>Non-controlled Assets</b>					
(1) Loaned to Others - Conforming Securities Lending Programs .....	General Interrogatories Part 1 Line 24.05	0	0.002	0	
(2) Loaned to Others - Securities Lending Programs - Other .....	General Interrogatories Part 1 Line 24.06	0	0.010	0	
(3) Subject to Repurchase Agreements .....	General Interrogatories Part 1 Line 25.21	0	0.010	0	
(4) Subject to Reverse Repurchase Agreements .....	General Interrogatories Part 1 Line 25.22	0	0.010	0	
(5) Subject to Dollar Repurchase Agreements .....	General Interrogatories Part 1 Line 25.23	0	0.010	0	
(6) Subject to Reverse Dollar Repurchase Agreements .....	General Interrogatories Part 1 Line 25.24	0	0.010	0	
(7) Placed Under Option Agreements .....	General Interrogatories Part 1 Line 25.25	0	0.010	0	
(8) Letter Stock or Securities Restricted as to Sale - Excluding FHLB Capital Stock .....	General Interrogatories Part 1 Line 25.26	0	0.010	0	
(9) FHLB Capital Stock .....	General Interrogatories Part 1 Line 25.27	0	0.010	0	
(10) On Deposit with State .....	General Interrogatories Part 1 Line 25.28	24,621,687	0.010	246,217	
(11) On Deposit with Other Regulatory Bodies .....	General Interrogatories Part 1 Line 25.29	0	0.010	0	
(12) Pledged as Collateral - Excluding Collateral Pledged to an FHLB .....	General Interrogatories Part 1 Line 25.30	0	0.010	0	
(13) Pledged as Collateral to FHLB (including assets backing funding agreements) .....	General Interrogatories Part 1 Line 25.31	0	0.010	0	
(14) Other .....	General Interrogatories Part 1 Line 25.32	0	0.010	0	
(15) Total Non-controlled Assets .....	Sum of Lines (1) through (14)	24,621,687		246,217	
(16) Guarantees for Affiliates .....	Notes to Financial Statements 14A(03C1), Column 2	0	0.010	0	
(17) Contingent Liabilities .....	Notes to Financial Statements 14A(1), Column 2	0	0.010	0	
(18) Is the entity responsible for filing the U.S. Federal income tax return for the reporting insurer a regulated insurance company?.....	"Yes", "No" or "N/A" in Column (4)				NO
(19) SSAP No. 101 Paragraph 11a Deferred Tax Assets .....	Notes to Financial Statements Item 9A2(a), Column 3	16,628,762	0.010	166,288	
(20) SSAP No. 101 Paragraph 11b Deferred Tax Assets .....	Notes to Financial Statements Item 9A2(b), Column 3	3,022,017	0.010	30,220	
(21) Total Miscellaneous Off-Balance Sheet and Other Items	L(15)+L(16)+L(17)+L(19)+L(20)	44,272,466		442,725	

† If Line (18) Column (4) is "Yes", then the factor is 0.005. If Line (18) Column (4) is "No", then the factor is 0.010. If Line (18) Column (4) is "N/A", then the factor is 0.000.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

OFF-BALANCE SHEET SECURITY LENDING COLLATERAL AND SCHEDULE DL, PART 1 ASSETS

Asset Category	Annual Statement Source	(1) Off-Balance Sheet Collateral Book/Adjusted Carrying Value	(2) Schedule DL, Part 1 Book/Adjusted Carrying Value	(3) Subtotal	Factor	(4) RBC Requirement
<b>Fixed Income Assets</b>						
<b>Bonds</b>						
(1) NAIC 01 - U.S. Government - Direct and Guaranteed .....	Company Records	0	0	0	0.000	0
(2) Other NAIC 01 Bonds .....	Company Records	0	0	0	0.003	0
(3) Total NAIC 01 Bonds .....	Line (1)+Line (2)	0	0	0		0
(4) Total NAIC 02 Bonds .....	Company Records	0	0	0	0.010	0
(5) Total NAIC 03 Bonds .....	Company Records	0	0	0	0.020	0
(6) Total NAIC 04 Bonds .....	Company Records	0	0	0	0.045	0
(7) Total NAIC 05 Bonds .....	Company Records	0	0	0	0.100	0
(8) Total NAIC 06 Bonds .....	Company Records	0	0	0	0.300	0
(9) Total Bonds .....	L(3)+L(4)+L(5)+L(6)+L(7)+L(8)	0	0	0		0
<b>Equity Assets</b>						
<b>Preferred Stock - Unaffiliated</b>						
(10) NAIC 01 Unaffiliated Preferred Stock .....	Company Records	0	0	0	0.003	0
(11) NAIC 02 Unaffiliated Preferred Stock .....	Company Records	0	0	0	0.010	0
(12) NAIC 03 Unaffiliated Preferred Stock .....	Company Records	0	0	0	0.020	0
(13) NAIC 04 Unaffiliated Preferred Stock .....	Company Records	0	0	0	0.045	0
(14) NAIC 05 Unaffiliated Preferred Stock .....	Company Records	0	0	0	0.100	0
(15) NAIC 06 Unaffiliated Preferred Stock .....	Company Records	0	0	0	0.300	0
(16) Total Unaffiliated Preferred Stock .....	Sum of Lines (10) through (15)	0	0	0		0
(17) Common Stock .....	Company Records	0	0	0	0.150	0
(18) Real Estate and Property & Equipment Assets .....	Company Records	0	0	0	0.100	0
(19) Other Invested Assets .....	Company Records	0	0	0	0.200	0
(20) Mortgage Loans on Real Estate .....	Company Records	0	0	0	0.050	0
(21) Cash, Cash Equivalents and Short-Term Investments (Not reported on Bonds above) .....	Company Records	0	0	0	0.003	0
(22) Total	L(9)+L(16)+L(17)+L(18)+L(19)+L(20)+L(21)	0	0	0		0

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**FIXED INCOME ASSETS**

	Annual Statement Source	(1) Book/Adjusted Carrying Value	Factor	(2) RBC Requirement
<b>BONDS</b>				
(1) NAIC 01 - U.S. Government - Direct and Guaranteed .....	Sch D, Pt 1A, Sn 1, Col 7, Line 1.1 .....	25,166,217		
(2) Total NAIC 01 Bonds .....	Sch D, Pt 1A, Sn 1, Col 7, Line 11.1-Line 7.1 .....	942,730,555		
(3) Other NAIC 01 Bonds .....	L(2) - L(1) .....	917,564,338	0.0030 .....	2,752,693
(4) Total NAIC 02 Bonds .....	Sch D, Pt 1A, Sn 1, Col 7, Line 11.2-Line 7.2 .....	85,584,113	0.0100 .....	855,841
(5) Total NAIC 03 Bonds .....	Sch D, Pt 1A, Sn 1, Col 7, Line 11.3-Line 7.3 .....	20,190,195	0.0200 .....	403,804
(6) Total NAIC 04 Bonds .....	Sch D, Pt 1A, Sn 1, Col 7, Line 11.4-Line 7.4 .....	2,092,200	0.0450 .....	94,149
(7) Total NAIC 05 Bonds .....	Sch D, Pt 1A, Sn 1, Col 7, Line 11.5-Line 7.5 .....	0	0.1000 .....	0
(8) Total NAIC 06 Bonds .....	Sch D, Pt 1A, Sn 1, Col 7, Line 11.6-Line 7.6 .....	0	0.3000 .....	0
(9) Total Bonds .....		1,050,597,063		4,106,487
<b>MISCELLANEOUS FIXED INCOME ASSETS</b>				
(10) Cash .....	Page 2, Line 5, inside amount 1 .....	16,562,899	0.0030 .....	49,689
(11) Cash Equivalents .....	Page 2, Line 5, inside amount 2 .....	62,474,634		
(12) Less: Cash Equivalent, Bonds included in Schedule D, Part 1A .....	Sch E Pt 2, C7 L8399999 in part .....	5,032,287		
(13) Less: Exempt Money Market Mutual Funds* .....	Sch E Pt 2, C7 L8599999 .....	57,442,347		
(14) Net Cash Equivalents .....	L (11) - L (12) - L (13) .....	0	0.0030 .....	0
(15) Short-Term Investments .....	Page 2, Line 5, inside amount 3 .....	3,097,043		
(16) Short-Term Bonds* .....	Sch DA, Pt 1, Col 7, Line 8399999 .....	3,097,043		
(17) Total Other Short-Term Investments .....	L(15)-L(16) .....	0	0.0030 .....	0
(18) Mortgage Loans - First Liens .....	Page 2, Col 3, Line 3.1 .....	27,600,000	0.0500 .....	1,380,000
(19) Mortgage Loans - Other Than First Liens .....	Page 2, Col 3, Line 3.2 .....	0	0.0500 .....	0
(20) Receivable for Securities .....	Page 2, Col 3, Line 9 .....	325,000	0.0250 .....	8,125
(21) Aggregate write-ins for invested assets .....	Page 2, Col 3, Line 11 .....	0	0.0500 .....	0
(22) Collateral Loans .....	Included in Page 2, Col 3, Line 8 .....	0	0.0500 .....	0
(23) NAIC 01 Working Capital Finance Investments .....	Notes to Financial Statement 5M(01a), Col. 3 .....	0	0.0038 .....	0
(24) NAIC 02 Working Capital Finance Investments .....	Notes to Financial Statement 5M(01b), Col. 3 .....	0	0.0125 .....	0
(25) Other Long-Term Invested Assets Excluding Collateral Loans and Working Capital Finance Investments .....	Included in Page 2, Col 3, Line 8 .....	0	0.2000 .....	0
(26) Federal Guaranteed Low Income Housing Tax Credits .....	Schedule BA Part 1 Column 12 Lines 3199999 + 3299999 .....	0	0.0014 .....	0
(27) Federal Non-Guaranteed Low Income Housing Tax Credits .....	Schedule BA Part 1 Column 12 Lines 3399999 + 3499999 .....	0	0.0260 .....	0
(28) State Guaranteed Low Income Housing Tax Credits .....	Schedule BA Part 1 Column 12 Lines 3599999 + 3699999 .....	0	0.0014 .....	0
(29) State Non-Guaranteed Low Income Housing Tax Credits .....	Schedule BA Part 1 Column 12 Lines 3799999 + 3899999 .....	0	0.0260 .....	0
(30) All Other Low Income Housing Tax Credits .....	Schedule BA Part 1 Column 12 Lines 3999999 + 4099999 .....	0	0.1500 .....	0
(31) Total Other Long-term Invested Assets (Page 2, Col 3, Line 8) .....	L(22)+L(23)+L(24)+L(25)+L(26)+L(27)+L(28)+ L(29)+L(30) .....	0		0
(32) Derivatives .....	Page 2, Col 3, Line 7 .....	0	0.0500 .....	0
(33) Total Fixed Income Assets RBC .....	L(9)+L(10)+L(14)+L(17)+L(18)+L(19)+L(20) +L(21)+ L(31)+L(32) .....			5,544,301

\* These bonds appear in Schedule D Part 1A Section 1 and are already recognized in the Bond portion of the formula.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**REPLICATION (SYNTHETIC ASSET) TRANSACTIONS AND MANDATORY CONVERTIBLE SECURITIES**

	(1) RSAT Number	(2) Type	(3) CUSIP	(4) Description of Asset(s)	(5) NAIC Designation or Other Description of Asset	(6) Value of Asset	(7) RBC Requirement
(9999999)	XXX	XXX	XXX	Total	XXX	0	0



RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**EQUITY ASSETS**

	Annual Statement Source	(1) Book/Adjusted Carrying Value	Factor	(2) RBC Requirement
<b>PREFERRED STOCK - UNAFFILIATED</b>				
(1) NAIC 01 Preferred Stock .....	Included in Sch D, Pt 2, Sn 1	0	0.003	0
(2) NAIC 02 Preferred Stock .....	Included in Sch D, Pt 2, Sn 1	0	0.010	0
(3) NAIC 03 Preferred Stock .....	Included in Sch D, Pt 2, Sn 1	0	0.020	0
(4) NAIC 04 Preferred Stock .....	Included in Sch D, Pt 2, Sn 1	0	0.045	0
(5) NAIC 05 Preferred Stock .....	Included in Sch D, Pt 2, Sn 1	0	0.100	0
(6) NAIC 06 Preferred Stock .....	Included in Sch D, Pt 2, Sn 1	0	0.300	0
(7) Subtotal - Unaffiliated Preferred Stock .....	Sum of Lines (1) through (6)	0		0
(Should equal Page 2, Col 3, Line 2.1 less Sch D Sum, Col 1, L18)				
<b>HYBRID SECURITIES - UNAFFILIATED</b>				
(8) NAIC 01 Hybrid Securities .....	Sch D, Pt 1A, Sn 1, Col 7, Line 7.1	0	0.003	0
(9) NAIC 02 Hybrid Securities .....	Sch D, Pt 1A, Sn 1, Col 7, Line 7.2	0	0.010	0
(10) NAIC 03 Hybrid Securities .....	Sch D, Pt 1A, Sn 1, Col 7, Line 7.3	0	0.020	0
(11) NAIC 04 Hybrid Securities .....	Sch D, Pt 1A, Sn 1, Col 7, Line 7.4	0	0.045	0
(12) NAIC 05 Hybrid Securities .....	Sch D, Pt 1A, Sn 1, Col 7, Line 7.5	0	0.100	0
(13) NAIC 06 Hybrid Securities .....	Sch D, Pt 1A, Sn 1, Col 7, Line 7.6	0	0.300	0
(14) Subtotal - Hybrid Securities .....	Sum of Lines (8) through (13)	0		0
(15) Total Unaffiliated Preferred Stock and Hybrids .....	Line (7) + Line (14)	0		0
<b>COMMON STOCK - UNAFFILIATED</b>				
(16) Federal Home Loan Bank stock .....	Company Records	0	0.023	0
(17) Total Common Stock .....	Sch D, Summary, Col 1, Line 25	16,619,557		
(18) Affiliated Common Stock .....	Sch D, Summary, Col 1, Line 24	16,619,557		
(19) Other Unaffiliated Common Stock .....	L(17)-L(16)-L(18)	0	0.150	0
(20) Total Unaffiliated Common Stock .....	L(16)+L(19)	0		0

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**PROPERTY & EQUIPMENT ASSETS**

	Annual Statement Source	(1) Book/Adjusted Carrying Value	Factor	(2) RBC Requirement
(1) Properties occupied by the company .....	Page 2, Col 3, Line 4.1	0	.100	0
(2) Encumbrances (Property occupied by the company) .....	Page 2, Line 4.1, inside amount	0	.100	0
(3) Properties held for the production of income .....	Page 2, Col 3, Line 4.2	0	.100	0
(4) Encumbrances (Property held for production of income) .....	Page 2, Line 4.2, inside amount	0	.100	0
(5) Properties held for sale .....	Page 2, Col 3, Line 4.3	0	.100	0
(6) Encumbrances (Property held for sale) .....	Page 2, Line 4.3, inside amount	0	.100	0
(7) Furniture and equipment .....	L(7.1) + L(7.2) (should equal Page 2, Col 3, Line 21)	0		
(7.1) HC delivery subject to statutory acct depreciation limits .....	Company Records	0	.100	0
(7.2) All other furniture and equipment .....	Company Records	0	.100	0
(8) EDP equipment and software .....	Page 2, Col 3, Line 20	0	.100	0
(9) Total Property and Equipment	L(1)+L(2)+L(3)+L(4)+L(5)+L(6)+L(7.1)+L(7.2)+L(8)	0		0

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

ASSET CONCENTRATION

(1)				
Issuer Name ANALOG DEVICES INC				
		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	7,989,256	0.0100	79,893
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	7,989,256		79,893

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

ASSET CONCENTRATION

(1)				
Issuer Name AMERICAN TOWER CORP		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	7,903,278	0.0100	79,033
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	7,903,278		79,033

**ASSET CONCENTRATION**

(1)			
Issuer Name BROADCOM CRP / CAYMN FI			
	(2) Book/Adjusted Carrying Value	Factor	(3) Additional RBC
(1) NAIC 02 Unaffiliated Bonds .....	6,826,844	0.0100	68,268
(2) NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3) NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4) NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5) Collateral Loans .....	0	0.0500	0
(6) Mortgages .....	0	0.0500	0
(7) NAIC 02 Preferred Stock .....	0	0.0100	0
(8) NAIC 03 Preferred Stock .....	0	0.0200	0
(9) NAIC 04 Preferred Stock .....	0	0.0450	0
(10) NAIC 05 Preferred Stock .....	0	0.1000	0
(11) NAIC 02 Hybrid Securities .....	0	0.0100	0
(12) NAIC 03 Hybrid Securities .....	0	0.0200	0
(13) NAIC 04 Hybrid Securities .....	0	0.0450	0
(14) NAIC 05 Hybrid Securities .....	0	0.1000	0
(15) Other Long-Term Invested Assets .....	0	0.1000	0
(16) NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17) Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18) Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19) State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20) State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21) All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22) Unaffiliated Common Stock .....	0	0.1500	0
(23) Total of Issuer = Lines (1) through (22)	6,826,844		68,268

**ASSET CONCENTRATION**

(1)				
Issuer Name CITIGROUP INC				
		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	5,968,524	0.0100	59,685
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	5,968,524		59,685

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

ASSET CONCENTRATION

(1)				
Issuer Name COX COMMUNICATIONS INC		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	5,074,683	0.0100	50,747
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	5,074,683		50,747

**ASSET CONCENTRATION**

(1)				
Issuer Name UNITED TECHNOLOGIES CORP		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	3,545,379	0.0100	35,454
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	3,545,379		35,454



**ASSET CONCENTRATION**

(1)			
Issuer Name CAPITAL ONE FINANCIAL CO			
	(2) Book/Adjusted Carrying Value	Factor	(3) Additional RBC
(1) NAIC 02 Unaffiliated Bonds .....	3,523,462	0.0100	35,235
(2) NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3) NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4) NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5) Collateral Loans .....	0	0.0500	0
(6) Mortgages .....	0	0.0500	0
(7) NAIC 02 Preferred Stock .....	0	0.0100	0
(8) NAIC 03 Preferred Stock .....	0	0.0200	0
(9) NAIC 04 Preferred Stock .....	0	0.0450	0
(10) NAIC 05 Preferred Stock .....	0	0.1000	0
(11) NAIC 02 Hybrid Securities .....	0	0.0100	0
(12) NAIC 03 Hybrid Securities .....	0	0.0200	0
(13) NAIC 04 Hybrid Securities .....	0	0.0450	0
(14) NAIC 05 Hybrid Securities .....	0	0.1000	0
(15) Other Long-Term Invested Assets .....	0	0.1000	0
(16) NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17) Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18) Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19) State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20) State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21) All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22) Unaffiliated Common Stock .....	0	0.1500	0
(23) Total of Issuer = Lines (1) through (22)	3,523,462		35,235

**ASSET CONCENTRATION**

(1)				
Issuer Name DANONE SA				
		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	3,420,000	0.0100	34,200
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	3,420,000		34,200

**ASSET CONCENTRATION**

(1)				
Issuer Name AIR LEASE CORP		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	3,116,219	0.0100	31,162
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	3,116,219		31,162

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

ASSET CONCENTRATION

(1)				
Issuer Name FIFTH THIRD BANCORP				
		(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1)	NAIC 02 Unaffiliated Bonds .....	2,999,388	0.0100	29,994
(2)	NAIC 03 Unaffiliated Bonds .....	0	0.0200	0
(3)	NAIC 04 Unaffiliated Bonds .....	0	0.0450	0
(4)	NAIC 05 Unaffiliated Bonds .....	0	0.1000	0
(5)	Collateral Loans .....	0	0.0500	0
(6)	Mortgages .....	0	0.0500	0
(7)	NAIC 02 Preferred Stock .....	0	0.0100	0
(8)	NAIC 03 Preferred Stock .....	0	0.0200	0
(9)	NAIC 04 Preferred Stock .....	0	0.0450	0
(10)	NAIC 05 Preferred Stock .....	0	0.1000	0
(11)	NAIC 02 Hybrid Securities .....	0	0.0100	0
(12)	NAIC 03 Hybrid Securities .....	0	0.0200	0
(13)	NAIC 04 Hybrid Securities .....	0	0.0450	0
(14)	NAIC 05 Hybrid Securities .....	0	0.1000	0
(15)	Other Long-Term Invested Assets .....	0	0.1000	0
(16)	NAIC 02 Working Capital Finance Investments .....	0	0.0125	0
(17)	Federal Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(18)	Federal Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(19)	State Guaranteed Low Income Housing Tax Credits .....	0	0.0014	0
(20)	State Non-Guaranteed Low Income Housing Tax Credits .....	0	0.0260	0
(21)	All Other Low Income Housing Tax Credits .....	0	0.1500	0
(22)	Unaffiliated Common Stock .....	0	0.1500	0
(23)	Total of Issuer = Lines (1) through (22)	2,999,388		29,994

**ASSET CONCENTRATION**

(1)				
Issuer Name	Grand Total	(2)		(3)
		Book/Adjusted Carrying Value	Factor	Additional RBC
(1) NAIC 02 Unaffiliated Bonds .....		50,367,033		503,671
(2) NAIC 03 Unaffiliated Bonds .....		0		0
(3) NAIC 04 Unaffiliated Bonds .....		0		0
(4) NAIC 05 Unaffiliated Bonds .....		0		0
(5) Collateral Loans .....		0		0
(6) Mortgages .....		0		0
(7) NAIC 02 Preferred Stock .....		0		0
(8) NAIC 03 Preferred Stock .....		0		0
(9) NAIC 04 Preferred Stock .....		0		0
(10) NAIC 05 Preferred Stock .....		0		0
(11) NAIC 02 Hybrid Securities .....		0		0
(12) NAIC 03 Hybrid Securities .....		0		0
(13) NAIC 04 Hybrid Securities .....		0		0
(14) NAIC 05 Hybrid Securities .....		0		0
(15) Other Long-Term Invested Assets .....		0		0
(16) NAIC 02 Working Capital Finance Investments .....		0		0
(17) Federal Guaranteed Low Income Housing Tax Credits .....		0		0
(18) Federal Non-Guaranteed Low Income Housing Tax Credits .....		0		0
(19) State Guaranteed Low Income Housing Tax Credits .....		0		0
(20) State Non-Guaranteed Low Income Housing Tax Credits .....		0		0
(21) All Other Low Income Housing Tax Credits .....		0		0
(22) Unaffiliated Common Stock .....		0		0
(23) Total of Issuer = Lines (1) through (22)		50,367,033		503,671

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

UNDERWRITING RISK

Experience Fluctuation Risk

		(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Line of Business	Comprehensive Medical	Medicare Supplement	Dental & Vision	Stand-Alone Medicare Part D Coverage	Other Health	Other Non-Health	Total
(1) †	Premium .....	808,731,084	511,608	10	0	0	0	809,242,702
(2) †	Title XVIII-Medicare .....	2,965,593,428	XXX	XXX	XXX	XXX	XXX	2,965,593,428
(3) †	Title XIX-Medicaid .....	65,266,599	XXX	XXX	XXX	XXX	XXX	65,266,599
(4) †	Other Health Risk Revenue .....	0	XXX	0	0	0	XXX	0
(5)	Medicaid Pass-Through Payments Reported as Premiums .....	0	XXX	XXX	XXX	XXX	XXX	0
(6)	Underwriting Risk Revenue = L(1)+L(2)+L(3)+L(4)-L(5) .....	3,839,591,111	511,608	10	0	0	0	3,840,102,729
(7) †	Net Incurred Claims .....	3,175,379,083	412,911	(71)	0	0	XXX	3,175,791,923
(8)	Medicaid Pass-Through Payments Reported as Claims .....	0	XXX	XXX	XXX	XXX	XXX	0
(9)	Total Net Incurred Claims Less Medicaid Pass-Through Payments Reported as Claims = L(7)-L(8) .....	3,175,379,083	412,911	(71)	0	0	XXX	3,175,791,923
(10) †	Fee-for-service Offset .....	0	XXX	0	0	0	XXX	0
(11)	Underwriting Risk Incurred Claims = L(9)-L(10) .....	3,175,379,083	412,911	(71)	0	0	XXX	3,175,791,922
(12)	Underwriting Risk Claims Ratio = For Column (1) through (5), L(11)/L(6) ..	0.827	0.807	0.000	0.000	0.000	1.000	XXX
(13)	Underwriting Risk Factor* .....	0.090	0.105	0.120	0.251	0.130	0.130	XXX
(14)	Base Underwriting Risk RBC = L(6) x L(12) x L(13) .....	285,780,766	43,351	0	0	0	0	285,824,117
(15)	Managed Care Discount Factor .....	0.727	0.727	0.727	1.000	1.000	XXX	XXX
(16)	RBC after Managed Care Discount = L(14) x L(15) .....	207,762,617	31,516	0	0	0	XXX	207,794,133
(17) †	Maximum per-individual Risk after Reinsurance .....	1,000,000	0	0	0	0	XXX	XXX
(18)	Alternate Risk Charge ** .....	1,500,000	50,000	50,000	0	0	XXX	XXX
(19)	Alternate Risk Adjustment .....	0	50,000	50,000	0	0	XXX	XXX
(20)	Net Alternate Risk Charge*** .....	1,500,000	0	0	0	0	XXX	1,500,000
(21)	Net Underwriting Risk RBC (MAX{L(16),L(20)}) for Columns (1) through (5), Column (6), L(14)	207,762,617	31,516	0	0	0	0	207,794,133

TIERED RBC FACTORS*						
	Comprehensive Medical	Medicare Supplement	Dental & Vision	Stand-Alone Medicare Part D Coverage	Other Health	Other Non-Health
\$0 - \$3 Million	0.150	0.105	0.120	0.251	0.130	0.130
\$3 - \$25 Million	0.150	0.067	0.076	0.251	0.130	0.130
Over \$25 Million	0.090	0.067	0.076	0.151	0.130	0.130
ALTERNATE RISK CHARGE**						
** The Line (15) Alternate Risk Charge is calculated as follows:						
LESSER OF:	\$1,500,000 or 2 x Maximum Individual Risk	\$50,000 or 2 x Maximum Individual Risk	\$50,000 or 2 x Maximum Individual Risk	\$150,000 or 6 x Maximum Individual Risk	\$50,000 or 2 x Maximum Individual Risk	N/A

† The Annual Statement Sources are found on page XR013

\* This column is for a single result for the Comprehensive Medical & Hospital, Medicare Supplement and Dental/Vision managed care discount factor.

\*\*\* Limited to the largest of the applicable alternate risk adjustments, prorated if necessary.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

† Annual Statement Source

		(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Line of Business	Comprehensive Medical	Medicare Supplement	Dental & Vision	Stand-Alone Medicare Part D Coverage	Other Health	Other Non-Health	Total
(1)	Premium .....	P7, C2, L1 + L2	P7, C3, L1 + L2	P7, C4 & C5, L1 + L2			P7, C10, L1 + L2	
(2)	Title XVIII-Medicare .....	P7, C7, L1 + L2	XXX	XXX	XXX	XXX	XXX	P7, C7, L1 + L2
(3)	Title XIX-Medicaid .....	P7, C8, L1 + L2	XXX	XXX	XXX	XXX	XXX	P7, C8, L1 + L2
(4)	Other Health Risk Revenue .....	P7, C2, L4	XXX	P7, C4 & C5, L4			XXX	
(7)	Net Incurred Claims .....	P7, C2+C7+C8, L17	P7, C3, L17	P7, C4 & C5, L17			XXX	
(10)	Fee-for-service Offset .....	P7, C2, L3	XXX	P7, C4 & C5, L3			XXX	
(17)	Maximum per-individual Risk after Reinsurance	Gen Int Pt 2 5.31+5.32	Gen Int Pt 2 5.33	Gen Int Pt 2 5.34			XXX	XXX

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

	Annual Statement Source	(1) Amount	Factor	(2) RBC Requirement
<b>Other Underwriting Risk</b>				
(22) Business with Rate Guarantees Between 15-36 Months - Direct Premium Earned .....	Gen Int Pt 2 9.21	14,169,348	.024	340,064
(23) Business with Rate Guarantees Over 36 Months - Direct Premium Earned .....	Gen Int Pt 2 9.22	0	.064	0
(24) FEHBP and TRICARE Claims Incurred .....	UI, Pt 2, Col 6, Line 12.4	78,777,818	.020	1,575,556
(25) Stop Loss and Minimum Premium .....	Company Records	0	*	0
(25.1) Supplemental Benefits within Stand-Alone Medicare Part D Coverage (Claims Incurred) .....	Company Records	0	.500	0
(25.2) Medicaid Pass-Through Payments Reported as Premiums .....	XR012, C(1), L(5)	0	.020	0
(25.3) Total Other Underwriting Risk .....	Sum of lines (22) through (25.2)			1,915,620
<b>Disability Income Premium</b>				
(26) Noncancellable Disability Income - Individual Morbidity .....	Company Records	0		
(26.1) First \$50 Million Earned Premium of L(26) .....		0	.350	0
(26.2) Over \$50 Million Earned Premium of L(26) .....		0	.150	0
(26.3) Total Noncancellable Disability Income - Individual Morbidity .....	L(26.1) + L(26.2)			0
(27) Other Disability Income - Individual Morbidity .....	Company Records	0		
(27.1) Earned Premium in L(27) [up to \$50 million less Premium in L(26.1)] .....		0	.250	0
(27.2) Earned Premium in L(27) not included in L(27.1) .....		0	.070	0
(27.3) Total Other Disability Income - Individual Morbidity .....	L(27.1) + L(27.2)			0
(28) Disability Income - Credit Monthly Balance Plans .....	Company Records	0		
(28.1) First \$50 Million Earned Premium of L(28) .....		0	.200	0
(28.2) Over \$50 Million Earned Premium of L(28) .....		0	.030	0
(28.3) Total Disability Income - Credit Morbidity .....	L(28.1) + L(28.2)			0
(29) Disability Income - Group Long-term .....	Company Records	0		
(29.1) Earned Premium in L(29) [up to \$50 million less Premium in L(28.1)] .....		0	.150	0
(29.2) Earned Premium in L(29) not included in L(29.1) .....		0	.030	0
(29.3) Total Disability Income - Group Long-term .....	L(29.1) + L(29.2)			0
(30) Disability Income - Credit Single Premium with Additional Reserves .....	Company Records	0		
(30.1) Additional Reserves for Credit Disability Plans .....	Company Records	0		
(30.2) Additional Reserves for Credit Disability Plans, prior year .....	Company Records	0		
(30.3) Sub-total Disability Income - Credit Single Prem w/Addl Reserves .....	L(30) - L(30.1) + L(30.2)			
(30.4) Earned Premium in L(30.3) [up to \$50 million less Premium in lines (28.1)+(29.1)] .....		0	.100	0
(30.5) Earned Premium in L(30.3) not included in L(30.4) .....		0	.030	0
(30.6) Total Disability Income - Credit Single Premium with Additional Reserves .....	L(30.4) + L(30.5)			0
(31) Disability Income - Credit Single Premium without Additional Reserves .....	Company Records	0		
(31.1) Earned Prem in L(31) [up to \$50 million less Prem in Lines (28.1)+(29.1)+(30.4)] .....		0	.150	0
(31.2) Earned Premium in L(31) not included in L(31.1) .....		0	.030	0
(31.3) Total Disability Income - Credit Single Premium without Additional Reserves .....	L(31.1) + L(31.2)			0
(32) Disability Income - Group Short-term .....	Company Records	0		
(32.1) Earned Prem in L(32) [up to \$50 million less Prem in lines (28.1)+(29.1)+(30.4)+(31.1)] .....		0	.050	0
(32.2) Earned Premium in L(32) not included in L(32.1) .....		0	.030	0
(32.3) Total Disability Income - Group Short-term .....	L(32.1) + L(32.2)			0

\* A factor of .350 will be applied to the first \$25,000,000 in Column (1), Line (25) and a factor of .250 will be applied to the remaining premium in excess of \$25,000,000.



RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

	Annual Statement Source	(1) Amount	Factor	(2) RBC Requirement
<b>Long-Term Care (LTC) Insurance Premium</b>				
(33) Noncancellable LTC Premium - Rate Risk .....	Company Records	0	0.100 *	0
(34) All LTC Premium - Morbidity Risk (to \$50 million) .....	Line (37.1) Column (1) up to \$50 million	0	0.100	0
(35) LTC Premium (over \$50 million) - Morbidity Risk .....	Remainder of Line (37.1) Column (1) over \$50 million	0	0.030	0
(36) Premium-based RBC .....	Col (2), Line (33) + Line (34) + Line (35)			0

		(1)	(2)	(3)	(4)
		Premiums	Incurred Claims	Col. (2)/(1) § Loss Ratio	RBC Requirement
(37.1) Current Year .....	Company records	0	0	0.000	
(37.2) Immediate Prior Year .....	Company records	0	0	0.000	
(37.3) Average Loss Ratio .....	If loss ratios are used, [Column (3) Line (37.1) + Line (37.2)]/2, otherwise zero			0.000	
(38) Adjusted LTC Claims for RBC .....	If Column (3) Line (37.3) <> 0, then [Column (1) Line (34) + Line (35)] X Column (3) Line (37.3), else Column (2) Line (37.1)		0		
(38.1) Claims (to \$35 million) - Morbidity Risk .....	Lower of Column (2) Line (38) and \$35 million		0	0.370 †	0
(38.2) Claims (over \$35 million) - Morbidity Risk .....	Excess of Column (2) Line (38) over \$35 million		0	0.120 ‡	0
(39) LTC Claims Reserves .....	Company records		0	0.050	0
(40) Claims-based RBC .....	Col. (4), L(38.1) + L(38.2)				0
(41) LTC RBC .....	Col. (2), L(36) + Col. (4), L(39) + L(40)				0

\* The factor applies to all Non-cancellable premium.

† If Column (1), Line (37.1) is positive, then a factor of 0.250 is used. Otherwise, a higher factor of 0.370 is used.

‡ If Column (1), Line (37.1) is positive, then a factor of 0.080 is used. Otherwise, a higher factor of 0.120 is used.

§ If Column (1), Line (37.1) or (37.2) are less than or equal to zero or if Column (2), Line (37.1) or (37.2) are less than zero, the loss ratios are not used and Column (3), Line (37.3) is set to zero.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

	Annual Statement Source	(1) Amount	Factor	(2) RBC Requirement
<b>Limited Benefit Plans (Individual and Group Combined)</b>				
(42) Hospital Indemnity and Specified Disease .....	Included in Page 7, Col 9, Line 1 and 2, in part	0	.035	0
(42.1) 50,000 if L(42) is greater than zero .....				0
(42.2) Total Hospital Indemnity and Specified Disease .....	L(42) + L(42.1)			0
(43) Accidental Death & Dismemberment .....	Included in Page 7, Col 9, Line 1 and 2, in part	0		
(43.1) First 10 Million Earned Premium of L(43) .....		0	.055	0
(43.2) Over 10 Million Earned Premium of L(43) .....		0	.015	0
(43.3) Maximum Retained Risk for any single claim .....	Company Records	0		
(43.4) Three times L(43.3) .....		0		
(43.5) Lesser of L(43.4) or \$300,000 .....				0
(43.6) Total AD&D .....	L(43.1) + L(43.2) + L(43.5)			0
(44) Other Accident .....	Included in Page 7, Col 9, Line 1 and 2, in part	0	.050	0
(45) Premium Stabilization Reserves .....	Included in U&I, Part 2D, Col 1, Line 4	0	(0.500) *	0
(46) Total, Other Underwriting Risk .....	L(25.3)+L(26.3)+L(27.3)+L(28.3)+L(29.3)+ L(30.6)+L(31.3)+L(32.3)+L(41)+L(42.2)+ L(43.6)+ L(44)+L(45)			1,915,620

\* This is limited to the total Net Underwriting RBC on XR012, Col (7), Line (21) Less Col (4), and XR014, Col (2), Lines (25.3), (26.3), (27.3), (28.3), (29.3), (30.6), (31.3), (32.3), XR015 Col (2), Line (36), and XR016 Col (2), Lines (42.2), (43.6), and (44)

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**UNDERWRITING RISK - Managed Care Credit Calculation**

	Annual Statement Source	(1) Factor	(2) Paid Claims	(3) Weighted Claims †	(4) Part D Weighted Claims ‡
<b>Managed Care Claims Payments</b>					
(1) Category 0 - Arrangements not Included in Other Categories .....	Exhibit 7, Pt 1, Col 1, Line 5, in part §	0.000	244,583,253	0	
(2) Category 1 - Payments Made According to Contractual Arrangements .....	Exhibit 7, Pt 1, Col 1, Line 6, in part §	0.150	3,065,610,492	459,841,574	
(3) Category 2a - Subject to Withholds or Bonuses - Otherwise Category 0 .....	Exhibit 7, Pt 1, Col 1, Line 7, in part §	0.000 *	0	0	
(4) Category 2b - Subject to Withholds or Bonuses - Otherwise Category 1 .....	Exhibit 7, Pt 1, Col 1, Line 8, in part §	0.150 *	0	0	
(5) Category 3a - Capitated Payments Directly to Providers .....		0.600	690,973,324	414,583,995	
(5.1) Capitation Payments - Medical Group - Category 3a .....	Exhibit 7, Pt 1, Col 1, Line 1, in part §		690,973,324		
(5.2) Capitation Payments - All Other Providers - Category 3a .....	Exhibit 7, Pt 1, Col 1, Line 3, in part §		0		
(6) Category 3b - Capitated Payments to Regulated Intermediaries .....	Included in Exhibit 7, Pt 1, Col 1, Line 2 §	0.600	0	0	
(7) Category 3c - Capitated Payments to Non-Regulated Intermediaries .....	Included in Exhibit 7, Pt 1, Col 1, Line 2 §	0.600	0	0	
(8) Category 4 - Medical & Hospital Expense Paid as Salary to Providers .....		0.750	460,815,533	345,611,650	
(8.1) Non-contingent Salaries - Category 4 .....	Exhibit 7, Pt 1, Col 1, Line 9, in part §		460,815,533		
(8.2) Aggregate Cost Arrangements - Category 4 .....	Exhibit 7, Pt 1, Col 1, Line 10, in part §		0		
(8.3) Less Fee For Service revenue from ASC or ASO .....	Company Records		0		
(9) Sub-Total Paid Claims .....	Exhibit 7, Pt 1, Col 1, Line 13 - Line 11 - Line (8.3) - Line (12) - Line (13)		4,461,982,603	1,220,037,219	
<b>Stand - Alone Medicare Part D Coverage Claim Payments</b>					
(10) Category 0 - No Federal Reinsurance or Risk Corridor Protection .....	Company Records	XXX	XXX		XXX
(11) Category 1 - Federal Reinsurance but no Risk Corridor Protection .....	Company Records	XXX	XXX		XXX
(12) Category 2a - No Federal Reinsurance but Risk Corridor Protection .....	Company Records	0.667	0		0
(13) Category 3a - Federal Reinsurance And Risk Corridor Protection apply .....	Company Records	0.767	0		0
(14) Sub-Total Paid Claims .....	Sum of Lines (10) through (13)		0		0
(15) Total Paid Claims .....	Sum of Lines (9) and (14)		4,461,982,603		
(16) Weighted Average Managed Care Discount .....				0.273	0.000
(17) Weighted Average Managed Care Risk Adjustment Factor .....				0.727	1.000

† This column is for a single result for the Comprehensive Medical & Hospital, Medicare Supplement and Dental/Vision managed care discount factor.

‡ This Column is for the Medicare Part D managed care discount factor

§ Stand-Alone Medicare Part D business reported in Lines (12) and (13) would be excluded from these amounts.

\* The factor is calculated on page XR018.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

	Annual Statement Source	(1) Amount
<b>* Calculation of Category 2 Managed Care Factor</b>		
(18) Withhold & bonus payments, prior year .....	Company Records	0
(19) Withhold & bonuses available, prior year .....	Company Records	0
(20) MCC Multiplier - average withhold returned [L(18)/L(19)] .....		0.000
(21) Withholds & bonuses available, prior year .....	Company Records	0
(22) Claims payments subject to withhold, prior year .....	Company Records	0
(23) Average withhold rate, prior year [L(21)/L(22)] .....		0.000
(24) MCC Discount Factor, Category 2 $\text{Min}\{.25, [L(20) \times L(23)]\}$		0.000

\* The factor is pulled into Lines (3) and (4) on page XR017.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**CREDIT RISK**

	Annual Statement Source	(1) Amount	Factor	(2) RBC Requirement
<b>Reinsurance Ceded</b>				
(1) Recoverables on Paid Losses - 100% Owned Affiliates .....	Included in Sch S, Pt 2, Col 6, Line 1899999	0		
(2) Recoverables on Paid Losses - Other Affiliates .....	Included in Sch S, Pt 2, Col 6, Line 1899999	0	0.005	0
(3) Recoverables on Paid Losses - Non-Affiliates.....	Sch S, Pt 2, Col 6, Line 2199999	0	0.005	0
(4) Total Recoverables on Paid Losses .....	Lines (1) + (2) + (3) (Sch S, Pt 2, Col 6, Line 2299999)	0		0
(5) Recoverables on Unpaid Losses - 100% Owned Affiliates .....	Included in Sch S, Pt 2, Col 7, Line 1899999	0		
(6) Recoverables on Unpaid Losses - Other Affiliates .....	Included in Sch S, Pt 2, Col 7, Line 1899999	0	0.005	0
(7) Recoverables on Unpaid Losses - Non-Affiliates.....	Sch S, Pt 2, Col 7, Line 2199999	90,571,804	0.005	452,859
(8) Total Recoverables on Unpaid Losses .....	Lines (5) + (6) + (7) (Sch S, Pt 2, Col 7, Line 2299999)	90,571,804		452,859
(9) Unearned Premiums - 100% Owned Affiliates .....	Included in Sch S, Pt 3, Sn 2, Col 9, Line 0799999 + Line 1899999 + Line 2999999	0		
(10) Unearned Premiums - Other Affiliates .....	Included in Sch S, Pt 3, Sn 2, Col 9, Line 0799999 + Line 1899999 + Line 2999999	0	0.005	0
(11) Unearned Premiums - Non-Affiliates .....	Included in Sch S, Pt 3, Sn 2, Col 9, Line 1099999 + Line 2199999 + Line 3299999	0	0.005	0
(12) Total Unearned Premiums .....	Lines (9) + (10) + (11)	0		0
(13) Other Reserve Credits - 100% Owned Affiliates .....	Included in Sch S, Pt 3, Sn 2, Col 10, Line 0799999 + Line 1899999 + Line 2999999	0		
(14) Other Reserve Credits - Other Affiliates .....	Included in Sch S, Pt 3, Sn 2, Col 10, Line 0799999 + Line 1899999 + Line 2999999	0	0.005	0
(15) Other Reserve Credits - Non-Affiliates .....	Included in Sch S, Pt 3, Sn 2, Col 10, Line 1099999 + Line 2199999 + Line 3299999	0	0.005	0
(16) Total Other Reserve Credits .....	Lines (13) + (14) + (15)	0		0
(17) Total Reinsurance RBC .....	L(4)+L(8)+L(12)+L(16)			452,859
<b>Capitations to Intermediaries</b>				
(18) Total Capitations Paid Directly to Providers .....	XR017, Col (2), Line (5)	690,973,324		
(19) Less Secured Capitations to Providers .....	Company Records	0		
(20) Capitation to Providers Subject to Credit Risk Charge .....	L(18)-L(19)	690,973,324	0.020	13,819,466
(21) Total Capitations to Intermediaries .....	XR017, Col (2), Line (6)+(7)	0		
(22) Less Secured Capitations to Intermediaries .....	Company Records	0		
(23) Capitations to Intermediaries Subject to Credit Risk Charge .....	L(21)-L(22)	0	0.040	0
(24) Capitation Credit Risk RBC .....	L(20)+L(23)			13,819,466

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

	Annual Statement Source	(1) Amount	Factor	(2) RBC Requirement
<b>Other Receivables</b>				
(25) Investment Income Receivable .....	Page 2, Col 3, Line 14	7,779,240	0.010	77,792
(26) Health Care Receivables .....	Exhibit 3, Col 7, Line 0799999	52,124,077		
(26.1) Pharmaceutical Rebate Receivables .....	Exhibit 3, Col 7, Line 0199999	52,106,549	0.050	2,605,327
(26.2) Claim Overpayment Receivables .....	Exhibit 3, Col 7, Line 0299999	17,529	0.190	3,330
(26.3) Loan and Advances to Providers .....	Exhibit 3, Col 7, Line 0399999	0	0.190	0
(26.4) Capitation Arrangement Receivables .....	Exhibit 3, Col 7, Line 0499999	0	0.190	0
(26.5) Risk Sharing Receivables .....	Exhibit 3, Col 7, Line 0599999	0	0.190	0
(26.6) Other Health Care Receivables .....	Exhibit 3, Col 7, Line 0699999	0	0.190	0
(27) Amounts Receivable Relating to Uninsured Accident and Health Plans .....	Included in Page 2, Col 3, Line 17	0	0.050	0
(28) Amounts Due from Parents, Subs, and Affiliates .....	Page 2, Col 3, Line 23	0	0.050	0
(29) Aggregate Write-ins for other than invested assets .....	Page 2, Col 3, Line 25	401,567	0.050	20,078
(30) Total Other Receivables RBC .....	Sum L(25) + Sum L(26.1) through L(29)			2,706,527
(31) Total Credit RBC	L(17)+L(24)+L(30)			16,978,852

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**BUSINESS RISK**

	Annual Statement Source	(1) Amount	Factor	(2) RBC Requirement
<b>Administrative Expense Risk</b>				
(1) Claims adjustment expenses .....	Page 4, Col 2, Line 20	155,274,477		
(2) General administrative expenses .....	Page 4, Col 2, Line 21	392,529,209		
(3) less the Net amount of ASC Revenue and Expenses included in Line 1 and 2 .....	Company Records	0		
(4) less the Net amount of ASO Revenue and Expenses included in Line 1 and 2 .....	Company Records	(18,341,098)		
(5) less Admin Expenses for Commission & Premium Taxes .....	U & I, Part 3, Line 3, in part	102,855,197		
(6) Administrative Expenses Base RBC .....	L(1)+L(2)-L(3)-L(4)-L(5)	463,289,587	0.040 *	18,531,583
(7) Proration of Admin Expense to Experience Fluctuation Risk .....	L(6) x L(20)/(L(21)+L(22))			18,099,117
<b>Non-Underwritten and Limited-Risk</b>				
(8) Administrative expenses for ASC arrangements .....	Company Records	0	0.020	0
(9) Administrative expenses for ASO arrangements .....	Company Records	(18,341,098)	0.020	0
(10) Medical costs paid through ASC arrangements (Including Fee-for service received from other health entities) .....	Company Records	0	0.010	0
(11) Non-Underwritten and Limited Risk Business RBC .....		(18,341,098)		0
<b>Guaranty Fund Assessment Risk</b>				
(12) Premiums Subject to Guaranty Fund Assessment .....	Included in Sch T - Company Records	0	0.005	0
<b>Excessive Growth Risk</b>				
(13) UW Risk Revenue, Prior Year .....	2017 XR012, Col (7), Line (5) (manual entry) †	7,164,086,040		
(14) UW Risk Revenue, Current Year .....	2018 XR012, Col (7), Line (6)	3,840,102,729		
(15) Net UW Risk RBC, Prior Year .....	2018 XR012, Col (7), Line (18) (manual entry) †	360,494,159		
(16) Net UW Risk RBC, Current Year .....	2018 XR012, Col (7), Line (21)	207,794,133		
(17) RBC Growth Safe Harbor .....	[L(14)/L(13)+.10] x L(15)	0		
(18) Excess of RBC Growth Over Safe Harbor .....	Max{0,L(16) - L(17)}	0		
(19) Excessive Growth Risk RBC .....	.5 x L(18)			0

		Premium	Weight	Weighted Premium
(20) Experience Fluctuation Risk Revenue .....	XR012, Col (7), Line (6)	3,840,102,729		
(21) Premiums Earned .....	Page 4, Col 2, Line 2 + 3	3,931,859,439		
(22) Risk Revenue .....	Page 4, Col 2, Line 5	0		
(23) Tier 1 - \$0 to \$25 million of Line (20) .....		25,000,000	0.070	1,750,000
(24) Tier 2 - Amount over \$25 million of Line (20) .....		3,815,102,729	0.040	152,604,109
(25) Total Experience Fluctuation Risk Revenue .....	L(23)+L(24)	3,840,102,729		154,354,109
(26) Administrative Expenses Base RBC Factor .....	Col (2), Line (25) / Col (1), Line (25)			0.040

\* The factor for the Administrative Expenses Base RBC is calculated as a weighted average, based on premium volume from XR012

† For Start-up Health Companies using projected amounts from the domicile state approved proforma, complete Footnote 1.

Footnote 1: If your company is a start-up health company that has received approval from your domiciliary state to use projected amounts in L(13) and L(15), please explain the projections used.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**GROWTH OPERATIONAL RISK XR022**  
(For Informational Purposes Only)

	Reference	(1) Statement Value	Factor	(2) RBC Requirement
(1) Current Year Direct Premiums Written .....	U&I, Pt 1, Premiums, C1, L12	4,866,961,043		
(2) Current Year Reinsurance Assumed From Affiliates .....	Sch. S, Pt 1, Sn 2, C8, L0799999 + Life Supp. Sch. S, Pt. 1, Sn. 1, C10, L0799999	0		
(3) Current Year Reinsurance Assumed From Non-affiliates .....	Sch. S, Pt 1, Sn 2, C8, L1099999 + Life Supp. Sch. S, Pt. 1, Sn. 1, C10, L1099999	0		
(4) Current Year Gross Premiums Written Excluding Reinsurance Assumed from Affiliates .....	C(1), L(1) + (3)	4,866,961,043		
(5) Prior Year Direct Premiums Written .....	PY U&I, Pt 1, Premiums, C1, L12	8,194,516,687		
(6) Prior Year Reinsurance Assumed From Affiliates .....	PY Sch. S, Pt 1, Sn 2, C7, L0799999 + PY Life Supp. Sch. S, Pt. 1, Sn. 1, C9, L0799999	0		
(7) Prior Year Reinsurance Assumed From Non-affiliates .....	PY Sch. S, Pt 1, Sn 2, C7, L1099999 + PY Life Supp. Sch. S, Pt. 1, Sn. 1, C9, L1099999	0		
(8) Prior Year Gross Premiums Written Excluding Reinsurance Assumed from Affiliates .....	C(1), L(5) + (7)	8,194,516,687		
(9) Gross Written Premium in Excess of 125% of Prior Year's Gross Written Premium .....	C(1), L(4) - 1.25 x C(1), L(8)	0	0.0200	0



RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

FEDERAL ACA RISK ADJUSTMENT SENSITIVITY TEST:

	Annual Statement Source	(1) Amount	Sensitivity Percentage	(2) Subtotal Col. (1) * Col. (2)	Factor	(3) RBC Result	(4) Adjusted Capital
Overestimation of 25%							
(1) Premium Adjustments Receivable Due to ACA Risk Adjustment .....	Notes to Financial Statement 24E2a1	113,205	0.75	84,904	0.500	42,452	
(2) Premium Adjustments Payable Due to ACA Risk Adjustment .....	Notes to Financial Statement 24E2a3	3,419,551	0.75	2,564,663	0.500	1,282,332	
(3) Total ACA Risk Adjustments Payable less Receivable .....	Line (2) - Line (1)					1,239,880	
(4) Total Risk Adjustment .....	Absolute Value of Line (3)					1,239,880	
(5) Total Adjusted Capital, Post-deferred Tax .....	XR026, Col. (2), Line (6)						757,922,419
(6) Total Adjusted Capital Stressed for Risk Adjustments .....	Line (5) - Line (4)						756,682,539
(7) Authorized Control Level RBC .....	XR027 Comparison of Total Adjusted Capital to Risk-Based Capital Line (4)						114,562,150
(8) ACA Risk Adjusted ACL RBC Ratio .....	Line (6)/Line (7)						660.500
Underestimation of 25%							
(9) Premium Adjustments Receivable Due to ACA Risk Adjustment .....	Col. (1), Line (1)	113,205	1.25	141,506	0.500	70,753	
(10) Premium Adjustments Payable Due to ACA Risk Adjustment .....	Col. (1), Line (2)	3,419,551	1.25	4,274,439	0.500	2,137,220	
(11) Total ACA Risk Adjustments Payable less Receivable .....	Line (10) - Line (9)					2,066,467	
(12) Total Risk Adjustment .....	Absolute Value of Line (11)					2,066,467	
(13) Total Adjusted Capital, Post-deferred Tax .....	XR026, Col. (2), Line (6)						757,922,419
(14) Total Adjusted Capital Stressed for Risk Adjustments .....	Line (13) - Line (12)						755,855,952
(15) Authorized Control Level RBC .....	XR027 Comparison of Total Adjusted Capital to Risk-Based Capital Line (4)						114,562,150
(16) ACA Risk Adjusted ACL RBC Ratio .....	Line (14)/Line (15)						659.778

Footnote: If it is the belief of the company that the factors are not appropriate, provide an explanation as to why the factors are inappropriate.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

Calculation of Total Risk-Based Capital After Covariance

		(1) RBC Amount
<b>H0 - ASSET RISK - AFFILIATES W/RBC</b>		
(1) Off-Balance Sheet Items .....	XR005, Off-Balance Sheet Page, L(21)	442,725
(2) Directly Owned Insurer Subject to RBC .....	XR003, Affiliates Page, L(1)	0
(3) Indirectly Owned Insurer Subject to RBC .....	XR003, Affiliates Page, L(2)	10,748,453
(4) Directly Owned Health Entity Subject to RBC .....	XR003, Affiliates Page, L(3)	0
(5) Indirectly Owned Health Entity Subject to RBC .....	XR003, Affiliates Page, L(4)	0
(6) Directly Owned Alien Insurer .....	XR003, Affiliates Page, L(7)	0
(7) Indirectly Owned Alien Insurers .....	XR003, Affiliates Page, L(8)	0
(8) Total H0 .....	Sum L(1) through L(7)	11,191,178
<b>H1 - ASSET RISK - OTHER</b>		
(9) Investment Affiliates .....	XR003, Affiliates Page, L(5)	0
(10) Holding Company Excess of Subsidiaries .....	XR003, Affiliates Page, L(6)	0
(11) Investment in Parent .....	XR003, Affiliates Page, L(9)	0
(12) Other Affiliates .....	XR003, Affiliates Page, L(10)	0
(13) Fair Value Excess Affiliate Common Stock .....	XR003, Affiliates Page, L(11)	0
(14) Fixed Income Assets .....	XR006, Off-Balance Sheet Collateral, L(9) + L(19) + L(20) + L(21) + XR007, Fixed Income Assets Page, L(33)	5,544,301
(15) Replication & Mandatory Convertible Securities .....	XR008, Replication/MCS Page, L(9999999)	0
(16) Unaffiliated Preferred Stock and Hybrid Securities .....	XR006, Off-Balance Sheet Collateral, L(16) + XR009, Equity Assets Page, L(15)	0
(17) Unaffiliated Common Stock .....	XR006, Off-Balance Sheet Collateral, L(17) + XR009, Equity Assets Page, L(20)	0
(18) Property & Equipment .....	XR006, Off-Balance Sheet Collateral, L(18) + XR010, Prop/Equip Assets Page, L(9)	0
(19) Asset Concentration .....	XR011, Grand Total Asset Concentration Page, L(23)	503,671
(20) Total H1 .....	Sum L(9) through L(19)	6,047,972
<b>H2 - UNDERWRITING RISK</b>		
(21) Net Underwriting Risk .....	XR012, Underwriting Risk Page, L(21)	207,794,133
(22) Other Underwriting Risk .....	XR014, Underwriting Risk Page, L(25.3)	1,915,620
(23) Disability Income .....	XR014, Underwriting Risk Page, L(26.3)+L(27.3)+L(28.3)+L(29.3)+L(30.6) +L(31.3)+L(32.3)	0
(24) Long-Term Care .....	XR015, Underwriting Risk Page, L(41)	0
(25) Limited Benefit Plans .....	XR016, Underwriting Risk Page, L(42.2)+L(43.6)+L(44)	0
(26) Premium Stabilization Reserve .....	XR016, Underwriting Risk Page, L(45)	0
(27) Total H2 .....	Sum L(21) through L(26)	209,709,753

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

		(1) RBC Amount
<b>H3 - CREDIT RISK</b>		
(28) Total Reinsurance RBC .....	XR019, Credit Risk Page, L(17)	452,859
(29) Intermediaries Credit Risk RBC .....	XR019, Credit Risk Page, L(24)	13,819,466
(30) Total Other Receivables RBC .....	XR020, Credit Risk Page, L(30)	2,706,527
(31) Total H3 .....	Sum L(28) through L(30)	16,978,852
<b>H4 - BUSINESS RISK</b>		
(32) Administrative Expense RBC .....	XR021, Business Risk Page, L(7)	18,099,117
(33) Non-Underwritten and Limited Risk Business RBC .....	XR021, Business Risk Page, L(11)	0
(34) Premiums Subject to Guaranty Fund Assessments .....	XR021, Business Risk Page, L(12)	0
(35) Excessive Growth RBC .....	XR021, Business Risk Page, L(19)	0
(36) Total H4 .....	Sum L(32) through L(35)	18,099,117
(37) RBC after Covariance Before Basic Operational Risk.....	$H0 + \text{Square Root of } (H1^2+H2^2+H3^2+H4^2)$	222,450,776
(38) Basic Operational Risk .....	$0.030 \times L(37)$	6,673,523
(39) C-4a of U.S. Life Insurance Subsidiaries .....	Company Records	0
(40) Net Basic Operational Risk .....	Line (38) - Line (39) (Not less than zero)	6,673,523
(41) RBC after Covariance Including Basic Operational Risk .....	$L(37) + L(40)$	229,124,299
(42) Authorized Control Level RBC .....	$.50 \times L(41)$	114,562,150

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**CALCULATION OF TOTAL ADJUSTED CAPITAL**

	Annual Statement Source	(1) Amount	Factor	(2) Adjusted Capital
<b>Company Amounts</b>				
(1) Capital and Surplus .....	Page 3, Col 3, Line 33	757,922,419	1.000	757,922,419
<b>Subsidiary Adjustments</b>				
(2) AVR - Life Subsidiaries .....	Affiliate's statement §	0	1.000	0
(3) Dividend Liability - Life Subsidiaries .....	Affiliate's statement	0	0.500	0
(4) Tabular Discounts - P&C Subsidiaries .....	Affiliate's statement	0	(1.000)	0
(5) Non-Tabular Discounts - P&C Subsidiaries .....	Affiliate's statement	0	(1.000)	0
(6) Total Adjusted Capital, Post-deferred Tax .....				757,922,419
<b>SENSITIVITY TEST:</b>				
(7) DTA Value for Company .....	Page 2, Col 3, Line 18.2	19,650,779	1.000	19,650,779
(8) DTL Value for Company .....	Page 3, Col 3, Line 10.2	0	1.000	0
(9) DTA Value for Insurance Subsidiaries .....	Company Records	249,098	1.000	249,098
(10) DTL Value for Insurance Subsidiaries .....	Company Records	0	1.000	0
(11) Total Adjusted Capital, Pre-deferred Tax (sensitivity) .....	L(6)-L(7)+L(8)-L(9)+L(10)			738,022,542
<b>Ex DTA ACL RBC Ratio Sensitivity Test</b>				
(12) Deferred Tax Asset .....	Page 2 Column 3 Line 18.2	19,650,779	1.000	19,650,779
(13) Total Adjusted Capital Less Deferred Tax Asset .....	Line (6) less Line (12)			738,271,640
(14) Authorized Control Level RBC .....	XR027 Comparison of Total Adjusted Capital to Risk-Based Capital Line (4)			114,562,150
(15) Ex DTA ACL RBC Ratio .....	Line (13) / Line (14)			644.429
<b>ACA Fee RBC Ratio Sensitivity Test</b>				
(16) ACA Fee (Data Year Amount to be Paid in the Fee Year) .....	Note 22B	0	1.000	0
(17) Total Adjusted Capital Less ACA Fee .....	Line (6) less Line (16)			757,922,419
(18) Authorized Control Level RBC .....	XR027 Comparison of Total Adjusted Capital to Risk-Based Capital Line (4)			114,562,150
(19) ACA Fee RBC Ratio .....	Line (17) / Line (18)			661.582

§ The portion of the AVR that can be counted as capital is limited to the amount not utilized in asset adequacy testing in support of the Actuarial Opinion for reserves.

RISK BASED CAPITAL FOR THE YEAR 2018 OF THE Humana Health Plan Inc.

**COMPARISON OF TOTAL ADJUSTED CAPITAL TO RISK-BASED CAPITAL**

	Abbreviation	(1) Amount
(1) Total Adjusted Capital, Post Tax .....		757,922,419
(2) Company Action Level=200% of Authorized Control Level .....	CAL	229,124,300
(3) Regulatory Action Level=150% of Authorized Control Level .....	RAL	171,843,225
(4) Authorized Control Level=100% of Authorized Control Level .....	ACL	114,562,150
(5) Mandatory Control Level=70% of Authorized Control Level .....	MCL	80,193,505
(6) Level of Action, if Any .....		NONE
THE FOLLOWING NUMBERS MUST BE REPORTED IN THE FIVE YEAR HISTORY ON THE INDICATED LINE		
Total Adjusted Capital on Line 14 of the Five-Year Historical Data Page .....		757,922,419
Authorized Control Level Risk-Based Capital on Line 15 of the Five-Year Historical Data Page .....		114,562,150

**TREND TEST**

	Annual Statement Source	(1) Amount	(2) Result
(7) Total Revenue.....	Page 4, Line 8	3,931,859,439	
(8) Underwriting Deductions.....	Page 4, Line 23	3,803,087,426	
(9) Combined Ratio.....	Line (8)/Line (7)	96.725	
(10) RBC Ratio.....	Line(1)/Line (4)	661.582	
(11) Trend Test Result.....	If Line (10) is between 200% and 300% and Line (9) > 105%, then "Yes", otherwise "No"		NO
(12) Level of Action, if any, including Trend Test		NONE	