

VENDOR REPORT OF PRIOR VIOLATIONS ON CONSTRUCTION SEALED BIDS

This form is applicable to all contracts issued by the Finance and Administration Cabinet, Division of Engineering and Contract Administration (DECA) in accordance with KRS 45A.080.

Any vendor entering into a contract **shall** complete and provide a signed and notarized form with the required information attached.

All subcontractors shall also provide a signed and notarized form with the required information attached, to DECA.

The information required is specifically - **any final determination(s) of violations within the last five (5) calendar years of the following:**

1. Violations of KRS Chapter 136 (Corporation and Utility Taxes);
2. Violations of KRS Chapter 139 (Sales and Use Taxes);
3. Violations of KRS Chapter 141 (Income Taxes);
4. Violations of KRS Chapter 337 (Wages and Hours);
5. Violations of KRS Chapter 338 (Occupational Safety and Health of Employees);
6. Violations of KRS Chapter 341 (Unemployment Insurance);
7. Violations of KRS Chapter 342 (Workers Compensation); and
8. Violations of Occupational Safety and Health Laws **in any other states and at the federal level.**

If there are no violations for a particular category, vendor should attach a statement to that effect.

If there are violations for a particular category, the vendor should list them and provide the following information for each: the date of the violation, a short description of the violation (including statutory citation), the name of the governmental enforcement agency involved, and the amount of any penalties imposed as a result of the final determination.

Please note that this information may be provided to other governmental agencies, such as the Kentucky Labor Cabinet. DECA reserves the unqualified right to disqualify any vendors if appropriate under law.

In addition, the vendor shall remain in continuous compliance with KRS 45A.485 during the life of any contract awarded, and shall notify DECA of any new final determinations of violations in **any** of the above-mentioned categories, which occur after contract award, and during the life of any contract awarded. Failure to comply with these requirements may result in the vendor being disqualified from being awarded future contracts for the Commonwealth.

COMPANY NAME: _____

Violation Category	Date	Description	Govt. Enforcement Agency	Amount of Penalties

NOTARIZED STATEMENT OF COMPLIANCE

I certify, under penalty of perjury, that I have provided all pertinent information required by this form and this information is true and accurate. I also certify that I have completely read and understand this form and will comply with these requirements during the life of any contract awarded.

SIGNATURE

Printed Name

Title

Date

Company Name _____

Address _____

Subscribed and sworn to before me by _____, _____
(Affiant) (Title)

of _____ this _____ day of _____, 20_____.
(Company Name)

Notary Public

[seal of notary]

My commission expires: _____