

OFFICE OF FINANCIAL MANAGEMENT
Issuer Appointments - FY2013-14

Issuer	Current Financial Advisor or Underwriter	Current Bond Counsel	Contract Expiration	Option to Renew (1 Year)
KHC	Citi		June 30, 2013	Yes
		Kutak Rock	June 30, 2013	Yes
KHESLC	Bank of America Merrill Lynch		June 30, 2013	No
		Hawkins Delafield & Wood LLP	June 30, 2012	No
KIA	Morgan Stanley		June 30, 2013	No
		Peck, Shaffer and Williams	June 30, 2013	No
SPBC	(1) Morgan Stanley (2) Citi		June 30, 2013	No
		Peck, Shaffer & Williams	June 30, 2013	No
	First Southwest Company (FA)		June 30, 2013	No

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UNIV	Hilliard Lyons (FA)		June 30, 2013	Yes
		Rubin and Hays	June 30, 2013	Yes
TAK	Goldman Sachs		June 30, 2013	Yes
		Peck Shaffer & Williams	June 30, 2013	Yes
ALCo	TRAN Citi		June 30, 2014	Yes (2 yrs)
	GARVEEs (1) Citi (2) Goldman Sachs		June 30, 2014	Yes (2 yrs)
	Project Notes Citi		June 30, 2014	Yes (2 yrs)
	POBs Morgan Stanley		June 30, 2014	Yes (2 yrs)
		Kutak Rock	June 30, 2014	No
Local Co-manager	J.J. B Hilliard, W.L. Lyons PNC Capital Markets Morgan Keegan & Co. Stifel Nicolaus & Company Edward D. Jones & Co. First Kentucky Securities Sterne Agee Ross, Sinclaire & Associates		June 30, 2013	No