

OFFICE OF FINANCIAL MANAGEMENT
Issuer Appointments - FY2014

Issuer	Current Financial Advisor or Underwriter	Current Bond Counsel	Contract Expiration	Option to Renew (1 Year)
ALCo	TRAN Citi		June 30, 2014	Yes (2 yrs)
	GARVEEs (1) Citi (2) Goldman Sachs		June 30, 2014	Yes (2 yrs)
	Project Notes Citi		June 30, 2014	Yes (2 yrs)
	POBs Morgan Stanley		June 30, 2014	Yes (2 yrs)
		Kutak Rock	June 30, 2014	No
KHC	Citi		June 30, 2014	No
		Kutak Rock	June 30, 2014	No
KHESLC	Bank of America Merrill Lynch		June 30, 2014	Yes
		Hawkins Delafield & Wood LLP	June 30, 2014	No

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KIA	Morgan Stanley		June 30, 2013	RFP
		Peck, Shaffer and Williams	June 30, 2013	RFP
	The PFM Group (FA)		June 30, 2014	No
KPTIA	Citi		June 30, 2014	No
		Peck, Shaffer and Williams	June 30, 2014	No
SPBC	(1) Morgan Stanley (2) Citi		June 30, 2013	RFP
		Peck, Shaffer & Williams	June 30, 2013	RFP
	First Southwest Company (FA)		June 30, 2013	RFP
TAK	Goldman Sachs		June 30, 2014	No
		Peck Shaffer & Williams	June 30, 2014	No
UNIV	Hilliard Lyons (FA)		June 30, 2014	No
		Rubin and Hays	June 30, 2014	No

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Local Co-manager	J.J. B Hilliard, W.L. Lyons PNC Capital Markets Morgan Keegan & Co. Stifel Nicolaus & Company Edward D. Jones & Co. First Kentucky Securities Sterne Agee Ross, Sinclair & Associates		June 30, 2013	RFP