

**OFFICE OF FINANCIAL MANAGEMENT**  
**Issuer Appointments - FY2014**

Issuer	Current Financial Advisor or Underwriter	Current Bond Counsel	Contract Expiration	Option to Renew (1 Year)
<b>ALCo</b>	<b>TRAN</b> Citi		June 30, 2014	Yes (2 yrs)
	<b>GARVEEs</b> (1) Citi (2) Goldman Sachs		June 30, 2014	Yes (2 yrs)
	<b>Project Notes</b> Citi		June 30, 2014	Yes (2 yrs)
	<b>POBs</b> Morgan Stanley		June 30, 2014	Yes (2 yrs)
		Kutak Rock	June 30, 2014	No
<b>KHC</b>	Citi		June 30, 2014	No
		Kutak Rock	June 30, 2014	No
<b>KHESLC</b>	Bank of America Merrill Lynch		June 30, 2014	Yes
		Hawkins Delafield & Wood LLP	June 30, 2014	No

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<b>KIA</b>	Morgan Stanley		June 30, 2013	RFP
		Peck, Shaffer and Williams	June 30, 2013	RFP
	The PFM Group (FA)		June 30, 2014	No
<b>KPTIA</b>	Citi		June 30, 2014	No
		Peck, Shaffer and Williams	June 30, 2014	No
<b>SPBC</b>	(1) Morgan Stanley (2) Citi		June 30, 2013	RFP
		Peck, Shaffer & Williams	June 30, 2013	RFP
	First Southwest Company (FA)		June 30, 2013	RFP
<b>TAK</b>	Goldman Sachs		June 30, 2014	No
		Peck Shaffer & Williams	June 30, 2014	No
<b>UNIV</b>	Hilliard Lyons (FA)		June 30, 2014	No
		Rubin and Hays	June 30, 2014	No

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<b>Local Co-manager</b>	J.J. B Hilliard, W.L. Lyons, LLC Raymond James PNC Capital Markets LLC First Kentucky Securities Corp. Edward D. Jones & Co., L.P. Fifth Third Securities Sterne, Agee, & Leach, Inc.		June 30, 2014	Yes